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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  <i>(use as many sheets as necessary)</i>				<b>Complete if Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	
				First Named Inventor	Ryuji Ishiguro
				Art Unit	
				Examiner Name	
Sheet	1	of	1	Attorney Docket Number	SONYJP-162

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
JL	A	US-5,748,736-	05-05-1998	Mitra	
JL	B	US-6,049,878-	04-11-2000	Caronni, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
JL	C	JP-11-187013-A	07-09-1999	IBM Japan, Ltd.		**
	D	JP-11-250568-A	09-17-1999	Sony Corporation		**
I	E	WIPO-01/03364-A1	01-11-2001	Matsushita Electric Ind., C.		✓
JL	F	WIPO-01/03365-A1	01-11-2001	Matsushita Electric Ind. Co		✓

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 18 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS				
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>	
JL	G	WALDVOGEL, M., et al., "The VersaKey Framework: Versatile Group Key Management", IEEE Journal on Selected Areas in Communications, Vol. 17, No. 9, pages 1614 to 1631, September 1999 (09.99), pages 1616, right column to page 1621, left column	✓	
JL	H	WONG, C. K. et al., "Secure Group Communications Using Key Graphs" Proceedings of ACM SIGCOMM'98, pages 68 to 79, 02 September, 1998 (02.09.98), 3.4 Leaving a tree key graph ( <a href="http://www.acm.org/sigcomm/sigcomm98/tp/technical.html">http://www.acm.org/sigcomm/sigcomm98/tp/technical.html</a> )	✓	

Examiner Signature	<i>JL</i> <i>JL</i>	Date Considered	2/8/06
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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<b>Substitute for form 1449A/B/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
				Application Number	10/009,076
				Filing Date	April 15, 2002
				First Named Inventor	Ryuji Ishiguro
				Art Unit	2131
				Examiner Name	Y. Kassa
Sheet	1	of	1	Attorney Docket Number	SONYAK 3.3-162

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		Number-Kind Code <sup>2</sup> (if known)			

FOREIGN PATENT DOCUMENTS						
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		Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>4</sup> (if known)				
JL	BA	JP-11-187013-A1	07-09-1999	IBM Japan Ltd		✓
λ	BB	JP-11-250568-A1	09-17-1999	Sony Corp		✓

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